**佛山金控期货有限公司开户申请表（境内特殊单位适用）**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| 资金账号 | |  | | | | | | | | | | | 分户管理资产名称 | | | | | | | |  | | | | | | | | | | | | | | | | | | |
| **一、交易编码及交易权限申请** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **□开通以下除中金所套保交易编码、套利交易编码外所有交易编码及交易权限** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 上期所 | | □交易编码 □期权 | | | | | | | | | | | | | | | | | | | 广期所 | | | | | | | □交易编码 □特定品种期货 □期权 | | | | | | | | | | | |
| 大商所 | | □交易编码 □特定品种期货 □期权 | | | | | | | | | | | | | | | | | | | 郑商所 | | | | | | | □交易编码 □特定品种期货 □期权 | | | | | | | | | | | |
| 上期能源 | | □交易编码及特定品种期货（不含原油）  □原油期货和原油期权 | | | | | | | | | | | | | | | | | | | 中金所 | | | | | | | □交易编码（金融期货和金融期权）  □套保交易编码 □套利交易编码 | | | | | | | | | | | |
| **二、特殊单位客户信息** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 特殊单位客户名称 | | | | | | | | （管理人全称） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 交易编码对应名称 | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 统一社会信用代码 | | | | | | | |  | | | | | | | | | | | | | | | 附加码 | | | | | | |  | | | | | | | | | |
| 许可证编号 | | | | | | | | （QFII/RQFII填写） | | | | | | | | | | | | | | | 许可证有效期 | | | | | | | （QFII/RQFII填写） | | | | | | | | | |
| 分户管理资产注册地址 | | | | | | | | （QFII/RQFII填写） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 期货公司及其资产管理子公司私募资产管理计划类型 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | □期货类 □综合类 | | | | | | | | | |
| MOM产品资产单元 | | | | | | | | □是 □否 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 账户规模（单位万元） | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 托管银行 | | | | | | | |  | | | | | | | | | | | 托管银行账号 | | | | | | | | | |  | | | | | | | | | | |
| 投资品种 |  | | | | | | | | | | | | 投资比例 | |  | | | | | | | | | | 存续期 | | | | | | |  | | | | | | | |
| 分户管理资产对应的现货账户 | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **期货结算账户** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 账户名称 | | | | | | | | | | 银行账号 | | | | | | | | | | | | | | 开户银行 | | | | | | | | | | | | 开户行网点 | | | |
|  | | | | | | | | | |  | | | | | | | | | | | | | |  | | | | | | | | | | | |  | | | |
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| 特殊单位客户及其分户管理资产类型  *（交易者类型）* | □证券公司  □自营 □集合资产管理计划 □单一资产管理计划  □证券投资基金：□封闭式基金 □开放式基金（不包括ETF） □保本基金 □ETF  □债券基金（短期理财债券基金除外）  □场内对冲业务：□场外期权 □收益互换 □收益凭证 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □基金公司  □证券投资基金：□封闭式基金 □开放式基金（不包括ETF） □保本基金 □ETF  □债券基金（短期理财债券基金除外）  □私募资产管理计划：□单一资产管理计划 □集合资产管理计划 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □信托公司：□信托公司单一信托产品 □信托公司集合信托产品 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □保险公司  □保险资产组合  □证券投资基金：□封闭式基金 □开放式基金（不包括ETF） □保本基金 □ETF  □债券基金（短期理财债券基金除外） | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □合格境外机构投资者 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □人民币合格境外机构投资者 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □私募基金管理机构  □私募证券投资基金 □私募股权投资基金 □私募创业投资基金 □私募商品基金 □其他 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □期货公司  □私募资产管理计划：□单一资产管理计划 □集合资产管理计划 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □期货公司资产管理子公司  □私募资产管理计划：□单一资产管理计划 □集合资产管理计划 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □期货公司风险管理子公司  □合作套保  □场外衍生品业务：□“保险+期货”类业务 □与商业银行开展的场外衍生品业务 □场内对冲业务 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □做市机构 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □基本养老保险基金 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □企业年金、职业年金基金：□养老金产品 □证券投资组合 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □银行：□商业银行 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **分户管理资产联系人信息** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 联系人 | | | | | | | 姓名 | | | | | | |  | | | | | | | | | | | | 联系电话 | | | | | | | | | | |  | | |
| 证件类型 | | | | | | |  | | | | | | | | | | | | 证件有效期 | | | | | | | | | | |  | | |
| 证件号码 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| 联系地址 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| 分户管理资产负责人  □同基金经理 | | | | | | | 姓名 | | | | | | |  | | | | | | | | | | | | 联系电话 | | | | | | | | | | |  | | |
| 证件类型 | | | | | | |  | | | | | | | | | | | | 证件有效期 | | | | | | | | | | |  | | |
| 证件号码 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| 基金经理 | | | | | | | 姓名 | | | | | | |  | | | | | | | | | | | | 联系电话 | | | | | | | | | | |  | | |
| 证件类型 | | | | | | |  | | | | | | | | | | | | 证件有效期 | | | | | | | | | | |  | | |
| 证件号码 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| 联系地址 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| 投资顾问 | | | | | | | 姓名/名称 | | | | | | |  | | | | | | | | | | | | 联系电话 | | | | | | | | | | |  | | |
| 证件类型 | | | | | | |  | | | | | | | | | | | | 证件有效期 | | | | | | | | | | |  | | |
| 证件号码 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| 联系地址 | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| **份额25%（含）以上的持有人信息（份额持有人为自然人或一般单位，可填多个）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 姓名/名称 | | |  | | | | | | | | | | | | | | | | | | | 国家及地区 | | | | | | | | | | | | |  | | | | |
| 证件类型 | | |  | | | | | | | | | | | | | | | | | | | 持有份额*(占比)* | | | | | | | | | | | | |  | | | | |
| 证件号码 | | |  | | | | | | | | | | | | | | | | | | | 持有份额*(金额，单位：万)* | | | | | | | | | | | | |  | | | | |
| 姓名/名称 | | |  | | | | | | | | | | | | | | | | | | | 国家及地区 | | | | | | | | | | | | |  | | | | |
| 证件类型 | | |  | | | | | | | | | | | | | | | | | | | 持有份额*(占比)* | | | | | | | | | | | | |  | | | | |
| 证件号码 | | |  | | | | | | | | | | | | | | | | | | | 持有份额*(金额，单位：万)* | | | | | | | | | | | | |  | | | | |
| **份额25%（含）以上的持有人信息（份额持有人为产品，可填多个）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 产品管理机构名称 | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | 国家及地区 | | | | | | |  | |
| 产品名称 | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | 产品注册地 | | | | | | |  | |
| 统一社会信用代码或机构成立证明文件号码 | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | 产品规模  *(币种，单位：万)* | | | | | | |  | |
| 证件有效期 | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | 产品编码 | | | | | | |  | |
| 持有份额*(占比)* | | | | | | | | |  | | | | | | | | | | | 持有份额*(金额，单位：万)* | | | | | | | | | | | | | |  | | | | | |
| 注：份额持有人为产品的，应按照上述信息要求继续填写该产品的份额25%（含）以上的持有人信息，以此类推，直至持有人为自然人、一般单位或不存在份额25%（含）以上持有人的产品为止。可根据实际情况增减表格数量、调整表格层级关系，以完整反映客户的份额持有人情况。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **三、委托代理人信息（说明：以下委托代理人与基金经理为同一人的无需填写。）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **开户代理人** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 姓名 | | | |  | | | | | | | | 证件类型 | | | |  | | | | | | | | | | | 证件号码 | | | | | |  | | | | | | |
| 证件有效期 | | | |  | | | | | | | | | | | | | | | | | | | | | | | 联系电话 | | | | | |  | | | | | | |
| 联系地址 | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 邮政编码 | | | | | |  |
| **指定下单人（ □同开户代理人 ）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 姓名 | | | |  | | | | | | | | 证件类型 | | | |  | | | | | | | | | | | 证件号码 | | | | | |  | | | | | | |
| 证件有效期 | | | |  | | | | | | | | | | | | | | | | | | | | | | | 联系电话 | | | | | |  | | | | | | |
| 联系地址 | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 邮政编码 | | | | | |  |
| **资金调拨人（ □同开户代理人 □同指定下单人 ）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 姓名 | | | |  | | | | | | | | 证件类型 | | | |  | | | | | | | | | | | 证件号码 | | | | | |  | | | | | | |
| 证件有效期 | | | |  | | | | | | | | | | | | | | | | | | | | | | | 联系电话 | | | | | |  | | | | | | |
| 联系地址 | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 邮政编码 | | | | | |  |
| **结算单确认人（ □同开户代理人 □同指定下单人 □同资金调拨人 ）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 姓名 | | | |  | | | | | | | 证件类型 | | | | | |  | | | | | | | | | | 证件号码 | | | | | |  | | | | | | |
| 证件有效期 | | | |  | | | | | | | | | | | | | | | | | | | | | | | 联系电话 | | | | | |  | | | | | | |
| 联系地址 | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 邮政编码 | | | | | |  |
| **四、托（保）管人信息（若无此内容则无需填写）** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 托（保）管人名称 | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 银行账号 | | | | | |  | | | | | | | | | | | | 托（保）管户开户银行 | | | | | | | | | | | | | | |  | | | | | | |
| **五、交易软件和系统** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □第三方交易软件 | | | | | | **风险提示：**若贵单位使用包括但不限于博易大师(博易云)、文华财经、无限易、快期、交易开拓者等第三方交易软件，请注意在官方网站下载，并自行熟悉软件、云条件单等功能，贵单位使用个性化下单功能导致的交易结果，佛山金控期货及交易软件供应商不承担任何风险与责任。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| □CTP交易系统 | | | | | | **风险提示：**使用CTP交易系统，贵单位的主席交易系统将**不可开仓交易**。CTP交易系统和主席交易系统的密码是**相互独立**的，佛山金控期货将为贵单位开通CTP交易系统登录权限并设置独立的密码。为保证贵单位期货资金账户的安全，请贵单位在开户成功收到密码后及时登陆CTP交易系统进行更改。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **六、手续费标准** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 所有期货与期权品种交易、交割、行权履约手续费标准 | | | | | | | | | | | | | | | | | | | | 交易所手续费标准**选择一项。** | | | | | | | | | | | | | | | | | | | |
| 本单位确认并接受以下事项：   1. 若有新增品种上市，新增品种的交易、交割、行权履约手续费均按本表约定的标准执行。 2. 本表约定的手续费包含期货交易所收取的手续费，期货交易所手续费标准可登陆各交易所网站或致电佛山金控期货查询。 3. 佛山金控期货根据相关机构的收费政策和标准，代为划扣期货交易者保障基金、申报费、有价证券作为保证金手续费、仓储费等费用，本表中手续费标准不包含期货交易者保障基金。 4. 如期货交易所手续费收取标准发生调整，佛山金控期货将按照本表的约定对本单位的手续费标准进行相应调整。 5. 如遇期货交易所交易规则变化，佛山金控期货有权根据期货交易规则变化等情况单方变更手续费标准，佛山金控期货将按照期货经纪合同约定的一种或多种通知方式通知本单位相关手续费标准，生效时间以佛山金控期货通知为准，如本单位未在规定时间内提出答复或异议，视为本单位同意接受佛山金控期货通知的手续费标准。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **七、保证金标准** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 所有品种 | | | | | | □公司标准（详见佛山金控期货官网www.fsjkqh.com）  □交易所保证金标准+3%  □交易所保证金标准+2%  □交易所保证金标准+1%  □交易所保证金标准+0% | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 本单位确认并接受以下事项：   1. 为控制风险，佛山金控期货收取的默认保证金标准高于交易所标准。 2. 本单位申请使用特殊保证金标准将扩大交易杠杆，面临更大的交易风险。 3. 本单位期货资金账户可用资金余额不足，佛山金控期货有权随时对该账户进行强行平仓，以控制风险。 4. 如本单位期货资金账户出现一定次数的追加保证金记录或强行平仓记录，佛山金控期货有权提高本单位期货资金账户的保证金标准，直至公司标准或高于公司标准。 5. 如遇节假日或其他佛山金控期货认定的风险状况，佛山金控期货将有权调整本单位的特殊保证金标准。 6. 如交易所实行新型保证金模式，且本单位已获得相应资格，新型保证金模式下的特殊保证金标准方可生效，否则为公司标准或传统保证金模式下的特殊保证金标准。 7. 不活跃品种、高风险品种不参与特殊保证金，以公司标准收取保证金。 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **客户声明及签章** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1. **本单位承诺以上填写内容和授权事项均属实，如因以上提供的信息不真实、不准确、不完整而产生的风险和后果，全部由本单位承担；如上述内容发生变更将及时通知佛山金控期货，如因未能及时完成告知由此产生的后果将由本单位承担。** 2. **本单位有能力承担因参与期货交易而产生的风险，并保证参与交易资金来源的合法性和所提供资料的真实性。承诺遵守期货交易场所和期货结算机构的各项业务规则，自愿承担期货交易结果。**   **特殊单位客户法定代表人/负责人/开户代理人（签字/签章）：**  **特殊单位客户盖章：**  **日期： 年 月 日** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |